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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>H04Q 7/00, G01S 11/10</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 93/00777</b>  <b>(43) International Publication Date:</b> <b>7 January 1993 (07.01.93)</b>
<b>(21) International Application Number:</b> <b>PCT/SE92/00430</b> <b>(22) International Filing Date:</b> <b>16 June 1992 (16.06.92)</b>  <b>(30) Priority data:</b> <b>721,878</b> <b>27 June 1991 (27.06.91)</b> <b>US</b>  <b>(71) Applicant:</b> TELEFONAKTIEBOLAGET LM ERICSSON [SE/SE]; S-126 25 Stockholm (SE).  <b>(72) Inventors:</b> DAHLMAN, Bengt, Erik, Lennart ; Tomtebogatan 30B, S-113 38 Stockholm (SE). BÄCKSTRÖM, Olof, Tomas ; Århusgatan 81, S-164 41 Kista (SE). SANDELL, Bengt, Anders ; Vårvågen 59, S-194 60 Upplands Väsby (SE). WAHLSTRÖM, Lars, Peter ; Ångermanagatan 117, S-162 22 Vällingby ( ).		<b>(74) Agents:</b> LÖVGREN, Tage et al.; Telefonaktiebolaget LM Ericsson, S-126 25 Stockholm (SE).  <b>(81) Designated States:</b> AU, BR, CA, GB, JP, SE.  Published <i>With international search report.</i>
<b>(54) Title:</b> METHOD AND APPARATUS FOR ESTIMATING THE DOPPLER FREQUENCY OF A MOBILE STATION  <b>(57) Abstract</b>  A method and apparatus for approximating the doppler frequency of a mobile station (M1-M9) by examining the signal between the mobile station (M1-M9) and a base station (B1-B10). Several channel estimates ( $h_j(n)$ ) are calculated at different intervals ( $t_1, t_2$ ) for the signal between the mobile station (M1-M9) and the base station (B1-B10). The difference ( $V_j(n)$ ) between these channels estimates ( $h_j(n)$ ) is used to determine the approximate doppler frequency of the mobile station (M1-M9). A cellular mobile radio system can use the doppler frequency of the mobile station (M1-M9) to prioritize a handoff queue or to select an appropriate detecting algorithm for detecting data in a received signal ( $r(t)$ ). <div style="float: right; margin-top: 20px;"> <pre> graph TD     50[50 CALCULATE THE CHANNEL ESTIMATE COMPONENT AT TIME t1] --&gt; 52[52 CALCULATE THE CHANNEL ESTIMATE COMPONENT AT TIME t2]     52 --&gt; 54[54 CALCULATE THE DIFFERENCE Vj(n) BETWEEN THE TWO CHANNEL ESTIMATES]     54 --&gt; 56[56 FILTER THE DIFFERENCE Vj(n) IN A LOW PASS FILTER]     56 --&gt; 58[58 CALCULATE THE AVERAGE SQUARE VALUE OF Vj(n)]     58 --&gt; 60[60 FILTER THE SQUARE VALUE OF Vj(n) IN A LOW PASS FILTER]     60 --&gt; 62[62 COMPUTE THE AVERAGE SQUARE VALUE OF h2(n)]     62 --&gt; 64[64 FILTER THE SQUARE VALUE OF h2(n) IN A LOW PASS FILTER]     64 --&gt; 66[66 DIVIDE THE SQUARE OF Vj(n) WITH THE SQUARE OF h2(n)]     66 --&gt; 68[68 RESULT PROPORTIONAL TO THE SQUARED DOPPLER FREQUENCY FOR THE MOBILE STATION] </pre> </div>		

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Method and apparatus for estimating the Doppler frequency  
of a mobile station.

### Field of the Invention

The present invention relates generally to cellular mobile radio systems having channels for transmitting information between base stations and mobile stations. More precisely, the invention  
5 relates to a method and apparatus for estimating the doppler frequency of a mobile station.

### Background of the Invention

In cellular mobile radio systems, it is fundamental that a mobile station with an established connection on a radio channel shall  
10 be able to maintain the established connection when moving from one cell to another cell wherein the cells are serviced by different or the same base station. It is also highly desirable that the mobile station with an established connection on a radio  
15 channel shall be able to maintain the established connection on the radio channel shall be able to maintain the established connection when moving within the same cell and when the radio channel which is used is subject to increased interference. A process by which a mobile station can maintain an established  
20 connection when moving in a cellular radio system is generally called a handoff.

As the capacity demands on a cellular mobile radio system increase, it becomes increasingly important to be able to determine the speed of a mobile station when processing data  
25 received from the mobile station or when handling request for handoff. In a mobile cellular system which sets up a queue for mobile stations waiting to make a handoff to a free channel, problems arise when the speed of the mobile station is not taken into account in determining which mobile station receives the  
30 first available free channel. For instance, two mobile stations may be waiting in a handoff queue for a free channel to become available. The first mobile station may be traveling very fast and the second mobile station may be moving very slow. If the

second mobile station has requested a handoff before the first mobile station has requested a handoff, the second mobile station will be given the first available free channel even though the signal strength between the base station and the first mobile station is rapidly decreasing. As a result, the first mobile station may lose its signal entirely breaking the call before it ever receives an available channel. This problem will only increase as the size of the individual cells decrease and the capacity of the system increases.

10 In a mobile telephone system, the variations in a received signals phase and strength is due to the movement of the mobile station. Short term variations depend upon multipath propagation and is called short term fading, multipath fading or just fading. The maximum frequency of the fading is called doppler frequency  
15 and is proportional to the velocity of the mobile station. Another variation in a received signals phase and strength is long term variations due to the change in the propagation lose between the mobile station and the base station. The rate of the variation also depends upon the speed of the mobile station,  
20 e.g., the doppler frequency.

In cellular mobile radio systems, detecting algorithms are used to detect data in a signal received from a mobile station. However, some detecting algorithms work better for slow moving mobiles while other detecting algorithms work better for fast  
25 moving mobile stations. In most prior art systems, the systems uses detecting algorithms that usually optimize a received signal from a fast moving mobile station. As a result, a non-optimum solution results for slow moving mobile stations.

#### Summary of the Invention

30 The present invention overcomes the shortcomings of the prior art by taking into account the speed of a mobile station during a call handoff and when detecting data in a received signal. In the present invention, the speed of a mobile station is determined when the mobile station requests a handoff. As a result,

a handoff queue can be prioritized according to the speed of the mobile station. For example, a fast moving mobile station will be given a higher priority than a slow moving mobile station. As a result, the faster moving mobile stations, which are losing  
5 their signals faster than the slower moving mobile stations, receive the first available free channels. As a result, fewer mobile stations will lose their calls.

In addition, the speed of a mobile station can be used when deciding which detecting algorithm should be used for detecting  
10 data in a received signal. As a result, the cellular mobile radio system can select detecting algorithms which give the best results for each individual mobile station.

In one embodiment of the present invention, an estimate of the doppler frequency is determined by first determining an estimate  
15 of the channel between the transmitter and the receiver. The channel is considered to be a linear transfer function with an impulse response which creates all the variations in phase and signal strength between the transmitter and the receiver. Several channel estimates are made at different times and the  
20 difference between the channel estimates is used to determine the approximate doppler frequency of the mobile station.

#### Brief Description of the Drawing

For a detailed description of the preferred embodiments of the present invention reference will now be made to the accompanying  
25 drawings wherein;

Figure 1 illustrates a portion of a cellular mobile radio system having cells, a mobile switching center, base stations and mobile stations.

Figure 2 is a block diagram of the relationship between the  
30 transmitter and the receiver.

Figure 3 is a block diagram of the circuit arrangement used in

the method according to the present invention.

Figure 4 is a flow chart illustrating the calculation of the doppler frequency.

#### Detailed Description

5 Figure 1 illustrates 10 cells C1-C10, in a cellular mobile radio system. Normally, a cellular mobile radio system according to the present invention would be implemented with more than 10 cells. However, for the purposes of simplicity, the present invention can be explained using the simplified representation  
10 illustrated in Figure 1.

For each cell C1-C10 there is a base station B1-B10 with the same reference number as the corresponding cell. Figure 1 illustrates the base stations as situated in the vicinity of the cell center and having omni directional antennas. The cells C1-C10 are,  
15 therefore, schematically represented as hexagons. The base stations of adjacent cells may, however, be co-located in the vicinity of the cell borders and have directional antennas as is well known to those skilled in the art. Figure 1 also illustrates 9 mobile stations M1-M9 movable within a cell and  
20 from one cell to another. In a typical cellular radio system there would normally be 9 cellular mobile stations. In fact, there are typically many times the number of mobile stations as there are base stations. However, for the purposes of explaining the invention, the reduced number of mobile stations is suf-  
25 ficient.

Also illustrated in Figure 1 is a mobile switching center MSC. The mobile switching center MSC illustrated in Figure 1 is connected to all 10 base stations B1-B10 by cables. The mobile switching center MSC is also connected by cables to a fixed  
30 public switching telephone network. All cables from the mobile switching center MSC to the base stations B1-B10 and cables to the fixed network are not illustrated.

In addition, to the mobile switching center MSC illustrated, there may be another mobile switching center connected by cables to base stations other than those illustrated in Figure 1. Instead of cables, other means, for example, fixed radio links may be used for connecting base stations B1-B10 to the mobile switching center MSC. The mobile switching center MSC, the base station B1-B10 and the mobile stations M1-M9 are all computer controlled. Figure 2 illustrates an overview picture of the link between a transmitter 10 and a receiver 14. In one embodiment of the present invention, the transmitter 10 is in the mobile station and the receiver 14 is located at the base station. Transmitter 10 sends a signal to the receiver 14 through a channel 12. The channel is considered to be a linear transfer function with an impulse response  $h(t)$  which creates all the variations and phase and signal strength between the transmitter and the receiver. The transmitted signal  $s(t)$  is affected by the channel impulse response  $h(t)$  to form the received signal  $r(t)$  which is fed into a channel estimator 16 which calculates a discrete approximation to  $h(t)$  called  $h(n)$ . The discrete approximation  $h(n)$  is then fed into the fading frequency estimator 18 which produces an estimate of the doppler frequency for the mobile station.

Figure 3 provides a detailed description of the components contained in the fading frequency estimator 18. The fading frequency estimator circuit contains a delay circuit D for delaying a channel estimate for D samples. An arrangement 24 is used for forming the difference between two channel estimates wherein one estimate has been delayed for D samples. The result of the arrangement 24 is a signal  $V_j(n)$  which is equal to the difference between two channel estimates. The circuit also contains low pass filters 26, 30 and 34 which reduce the amount of noise and modulation rests in a signal. The circuit also contains squaring circuits 28 and 32 which square the  $V_j(n)$  signal and the  $h_j(n)$  signal. In the alternative, magnitude calculators can be substituted for the squaring circuits 28 and 32 to calculate the amplitude of the signals. Finally, the circuit contains a comparing component for dividing two signals.



The operation of the fading frequency estimator shown in Figure 3 will be described in more detail with reference to Figure 4. When the receiver 14 receives a signal from the transmitter 10, the channel estimator 16 calculates a channel estimate of the impulse response  $h(t)$  for a time  $t_1$ . The channel estimator then calculates a second channel estimate component at a time  $t_2$  which occurs  $D$  samples later in Step 52. The arrangement 24 then calculates the difference between the two channel estimate components  $h_j(n)$  and  $h_j(n-D)$  in Step 52. The result of the difference between the two signals is the signal  $V_j(n)$ . The signal  $V_j(n)$  is then filtered by a low pass filter 26 to reduce the amount of noise and modulation in the signal. This is essential to getting a useful doppler frequency estimate in a noise environment. The  $V_j(n)$  signal is then squared in Step 58. The square value of the signal  $V_j(n)$  is then passed through a low pass filter 30 in Step 60. In the meantime, the  $h_j(n)$  signal is squared in Step 62 and passed through a low pass filter 34 in Step 64. Finally, the square value of the signal  $V_j(n)$  is divided by the square value of the  $h_j(n)$  signal to normalize the signal in Step 56. The result is proportional to the squared value of the doppler frequency for the mobile station. As a result, the speed of the mobile station can be estimated by determining the approximate doppler frequency for the mobile station.

The present invention, as described above, determines an approximation of the doppler frequency of a mobile station. As a result, the cellular mobile radio system can use the doppler frequency or the speed of the mobile station to prioritize a handoff queue or to select an appropriate detecting algorithm. While the invention has been described in its preferred embodiments, it is to be understood that the words that have been used to words of description rather than of limitation and the changes within purview of the appended claims may be made without the party from the true scope and spirit of the invention in its broader aspects.

**WE CLAIM:**

1. A method for estimating the doppler frequency of the mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations comprising the steps of:
  - determining channel estimate components at a plurality of different times for a signal between a transmitter and a receiver to produce a first and second signal;
  - calculating the difference between said first and second signals to produce a third signal; and
  - calculating the amplitude of said third signal and said first signal.
2. A method for estimating the doppler frequency of a mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations according to claim 1, wherein said second signal is delayed for a predetermined period of time.
3. A method for estimating the doppler frequency of a mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations according to claim 1, further comprising the step of filtering said third signal to reduce noise and modulation rests.
4. A method for estimating the doppler frequency of a mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations according to claim 3, wherein said third signal is filtered by a low pass filter.
5. A method for estimating the doppler frequency of the mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations according to claim 1, wherein said third signal and said first signal are squared to calculate the amplitude of said signals.

6. A method for estimating the doppler frequency of a mobile station in a cellular mobile radio system having a plurality of base stations in a plurality of mobile stations according to claim 5, wherein said squared first signal and said squared third signal are filtered by a low pass filter.

7. A method for estimating the doppler frequency of a mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations according to claim 1, wherein the amplitude of said third signal and said first signal are calculated by a magnitude calculator.

8. A method for estimating the doppler frequency of a mobile station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations according to claim 1, wherein said third signal is normalized by dividing said third signal by said first signal.

9. A circuit for estimating the doppler frequency of a signal between a mobile station and a base station in a cellular mobile radio system having a plurality of base stations and a plurality of mobile stations comprising:

calculating means for calculating channel estimate components for said signal between the mobile station and the base station to produce a first and second signal;

differential means for calculating the difference between said first and second signals to produce a third signal;

filtering means for filtering said third signal;

multiplying means for squaring the first signal and the third signal;

means for dividing said squared third signal by said squared first signal.

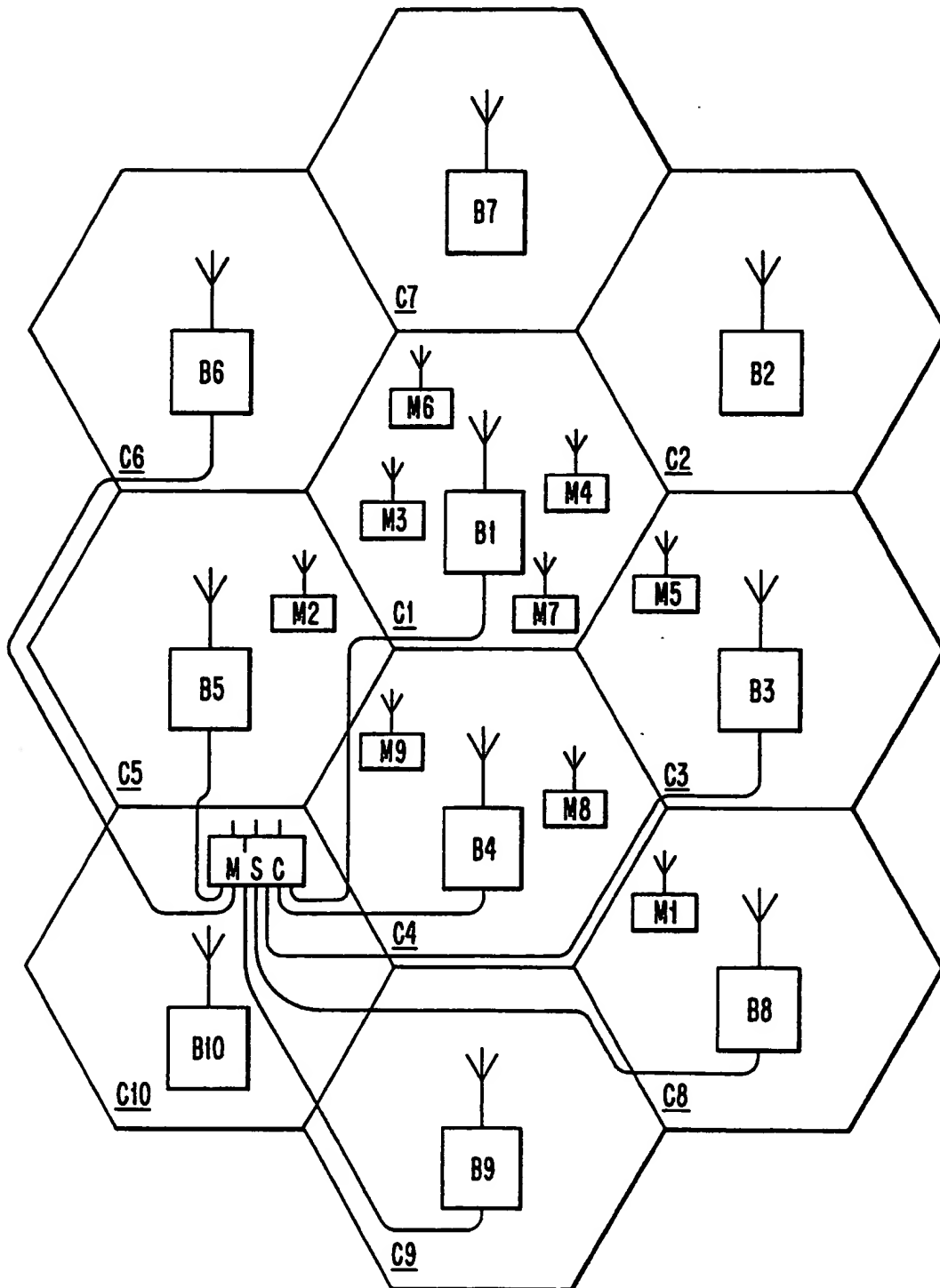
10. A circuit according to claim 9, wherein said filtering means is a low pass filter.

11. A circuit according to claim 9, further comprising a second filtering means for filtering said squared first signal and said

squared third signal.

12. A circuit according to claim 11, wherein said second filtering means is a low pass filter.

1 / 3

*Fig. 1*

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Fig. 2

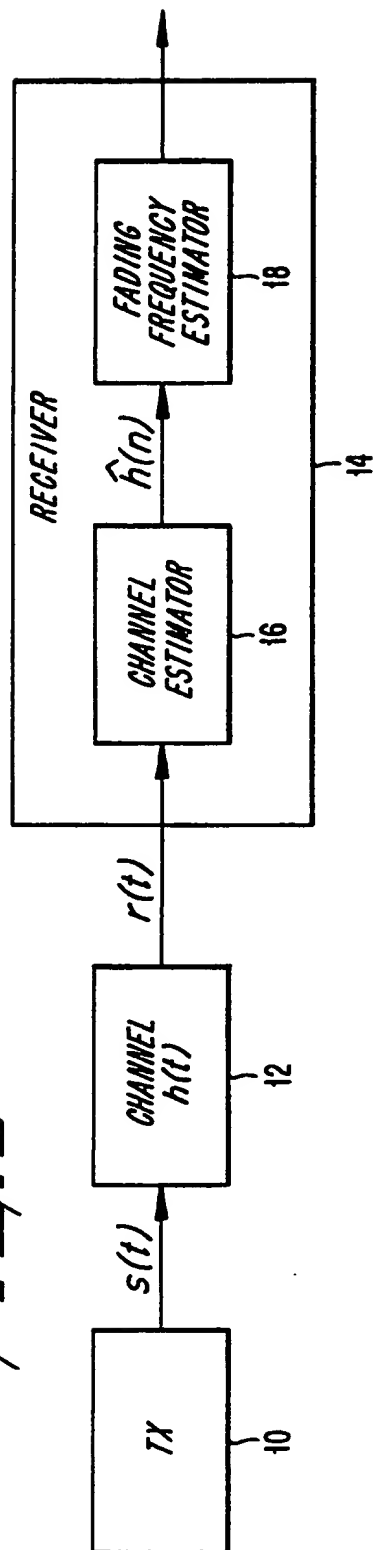


Fig. 3

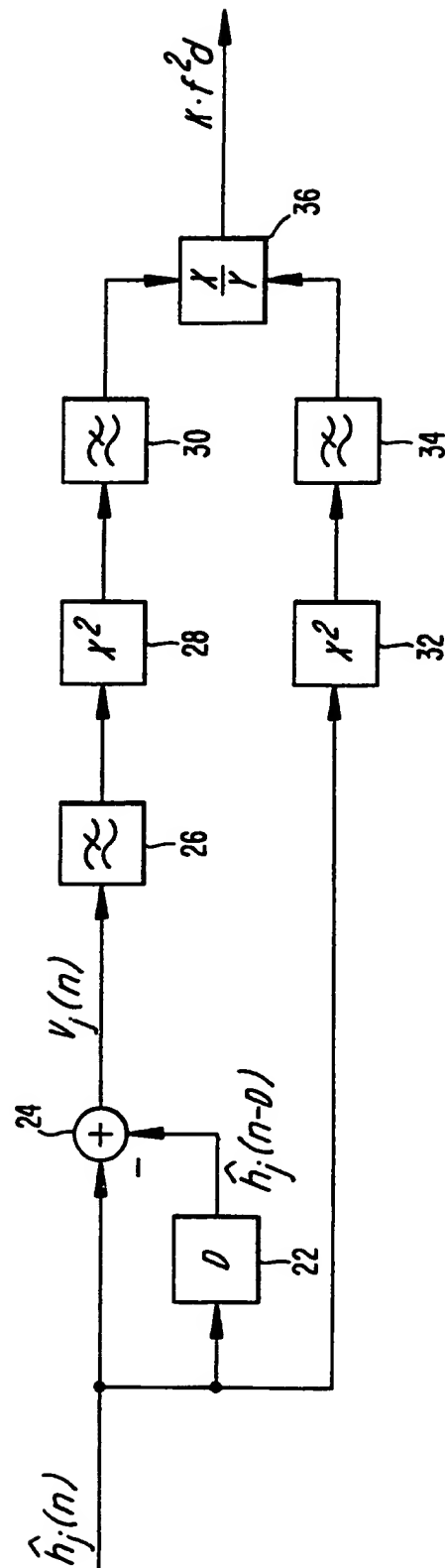
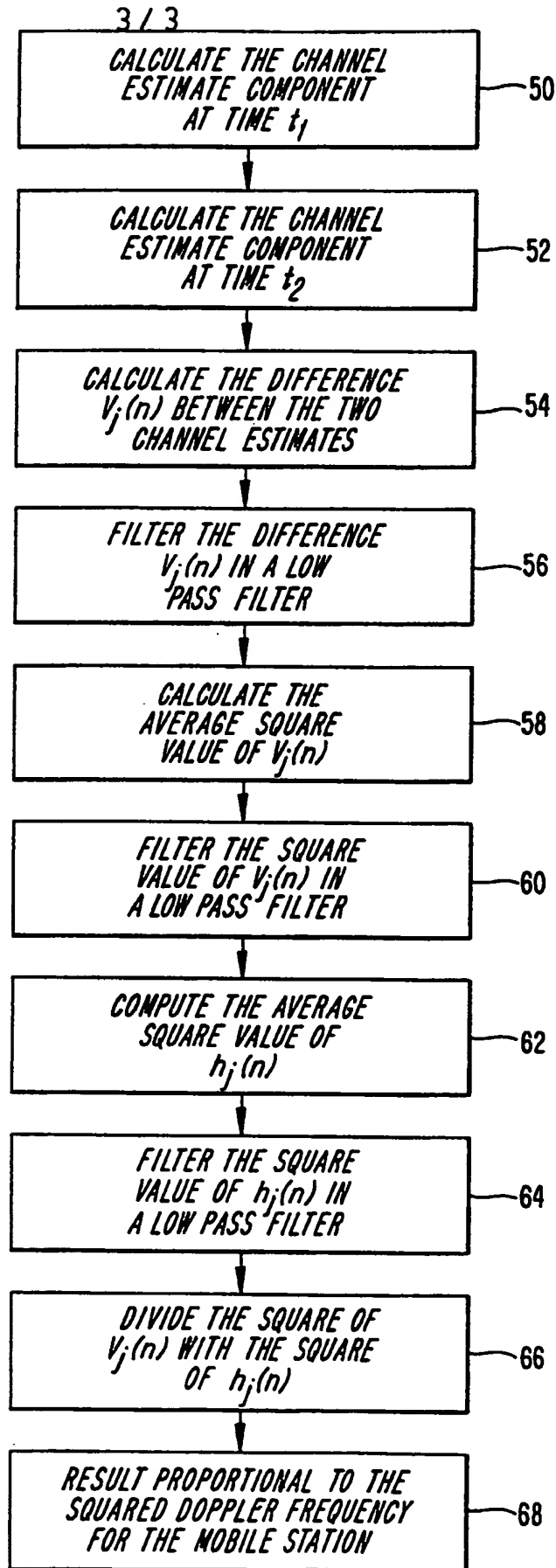


Fig. 4



# INTERNATIONAL SEARCH REPORT

International Application No **PCT/SE 92/00430**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC <b>IPC5: H 04 Q 7/00, G 01 S 11/10</b>														
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched<sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border: 1px solid black; text-align: left;">Classification System</th> <th style="border: 1px solid black; text-align: left;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">IPC5</td> <td style="border: 1px solid black; padding: 5px;">H 04 B, H 04 Q, G 01 S</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in Fields Searched<sup>8</sup></div> <p style="padding: 5px;">SE,DK,FI,NO classes as above</p>			Classification System	Classification Symbols	IPC5	H 04 B, H 04 Q, G 01 S								
Classification System	Classification Symbols													
IPC5	H 04 B, H 04 Q, G 01 S													
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; border: 1px solid black;">Category<sup>*</sup></th> <th style="width: 60%; border: 1px solid black;">Citation of Document,<sup>11</sup> with indication, where appropriate, of the relevant passages<sup>12</sup></th> <th style="width: 30%; border: 1px solid black;">Relevant to Claim No.<sup>13</sup></th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">A</td> <td style="border: 1px solid black; vertical-align: top;">EP, A2, 0424704 (VAISALA OY) 2 May 1991, see abstract ---</td> <td style="border: 1px solid black; text-align: center; vertical-align: top;">1,9</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">P,A</td> <td style="border: 1px solid black; vertical-align: top;">GB, A, 2240696 (NEC CORPORATION) 7 August 1991, see abstract ---</td> <td style="border: 1px solid black; text-align: center; vertical-align: top;">1,9</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">P,A</td> <td style="border: 1px solid black; vertical-align: top;">WO, A2, 9201950 (BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY) 6 February 1992, see abstract -----</td> <td style="border: 1px solid black; text-align: center; vertical-align: top;">1,9</td> </tr> </tbody> </table>			Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	A	EP, A2, 0424704 (VAISALA OY) 2 May 1991, see abstract ---	1,9	P,A	GB, A, 2240696 (NEC CORPORATION) 7 August 1991, see abstract ---	1,9	P,A	WO, A2, 9201950 (BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY) 6 February 1992, see abstract -----	1,9
Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>												
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>* Special categories of cited documents:<sup>10</sup></b></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p> </div> </div>														
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of the Actual Completion of the International Search  <b>25th September 1992</b> </td> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of Mailing of this International Search Report  <b>01 -10- 1992</b> </td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">           International Searching Authority  <div style="text-align: center; margin-top: 10px;"><b>SWEDISH PATENT OFFICE</b></div> </td> <td style="border: 1px solid black; padding: 5px;">           Signature of Authorized Officer  <div style="text-align: center; margin-top: 10px;"> <b>GÖRAN MAGNUSSON</b> </div> </td> </tr> </table>			Date of the Actual Completion of the International Search <b>25th September 1992</b>	Date of Mailing of this International Search Report <b>01 -10- 1992</b>	International Searching Authority <div style="text-align: center; margin-top: 10px;"><b>SWEDISH PATENT OFFICE</b></div>	Signature of Authorized Officer <div style="text-align: center; margin-top: 10px;"> <b>GÖRAN MAGNUSSON</b> </div>								
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**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO. PCT/SE 92/00430**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the Swedish Patent Office EDP file on **28/08/92**.  
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Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A2- 0424704	91-05-02	AU-D-	6308490	91-05-02
		CA-A-	2028576	91-04-27
		CN-A-	1051429	91-05-15
		JP-A-	3140889	91-06-14
		US-A-	5055849	91-10-08
GB-A- 2240696	91-08-07	JP-A-	3226127	91-10-07
		JP-A-	3226126	91-10-07
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